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hey Docket No. Q136

We claim:

- 1 1. A photonic crystal waveguide for coupling with optic devices comprising:
- a planar photonic crystal slab in which an array of holes is defined; and
- a waveguide defined by a line defect defined in said slab, said line defect
- 4 being created by a geometric perturbation of at least a first set of holes with
- 5 respect to a second set of holes to create at least one guided mode of light
- 6 propagation in said waveguide which exhibits reduced vertical and lateral losses,
- 7 increased coupling of light into said slab, and closer matching of frequencies of
- 8 eigen modes of said optic devices coupled to said waveguide.
- 1 2. The waveguide of claim 1 where said geometric perturbation is a
 - positional displacement of said first set of holes with respect to said second set of
- 3 holes in a predetermined direction, said first and second set of holes having the
- 4 same diameter of hole therein.
- 1 3. The waveguide of claim 1 where said predetermined direction is the ΓΧ
- direction in said slab, said waveguide being defined as a type 1 waveguide.
- 1 4. The waveguide of claim 1 where said predetermined direction is the ΓJ
- 2 direction in said slab, said waveguide being defined as a type 2 waveguide.





- 1 5. The waveguide of claim 4 where said positional displacement, d, is a
- fraction, I, of lattice spacing, a, of said array, $d = I \cdot a$, where 0 < I < 1.
- 1 6. The waveguide of claim 5 where d = 0.5a.
- 1 7. The waveguide of claim 5 where said waveguide has a bandgap and
- where d is reduced until both acceptor-type modes and donor-type modes are
- 3 positioned in the bandgap of said waveguide.
- 1 8. The waveguide of claim 1 where said slab has a bandgap, an air band and
- 2 a dielectric band for propagation of modes and where said geometric
- 3 perturbation is created by displacement of holes into a positions within said array
- 4 of holes where dielectric is normally present to pull modes from the dielectric
- 5 band into the bandgap.
- 1 9. The waveguide of claim 1 where said slab has a bandgap, an air band and
- 2 a dielectric band for propagation of modes and where said geometric
- 3 perturbation is created by displacement of dielectric into a positions within said
- 4 array of holes where air is normally present to pull modes from the air band into
- 5 the bandgap.



- 1 10. The waveguide of claim 1 where said geometric perturbation is created by
- 2 increasing or decreasing the diameter of a first set of holes in said array of holes
- 3 relative to a second set of holes comprising a remainder of holes of said array,
- 4 said first set of holes being adjacent at least in part to said line defect, said
- 5 waveguide defined as a type-3 waveguide.
- 1 11. The waveguide of claim 10 where slab has a bandgap and an air band
- 2 and where second set of holes has a radius, r = 0.3a and said first set of holes
- has a radius, $r_{defect} = 0.2a$ and said array of holes has a triangular lattice so that
- 4 only air band modes are pulled down in the bandgap and no acceptor-type
- 5 modes are present.
- 1 12. The waveguide of claim 10 where slab has a bandgap and an air band
- 2 and where second set of holes has a radius, r = 0.3a and said first set of holes
- has a radius, $r_{defect} = 0.45a$ and said array of holes has a triangular lattice so that
- 4 only acceptor-type modes are present.
- 1 13. The waveguide of claim 1 where said light is guided in said waveguide
- 2 due to photonic bandgap (PBG) effect.
- 1 14. A method for defining a photonic crystal waveguide for coupling with optic
- 2 devices comprising:





- defining an array of holes in a planar photonic crystal slab; and
- 4 creating a line defect in said slab to define said waveguide, said line
- 5 defect being created by a geometric perturbation of at least a first set of holes
- 6 with respect to a second set of holes to create at least one guided mode of light
- 7 propagation in said waveguide which exhibits reduced vertical and lateral losses,
- 8 increased coupling of light into said slab, and closer matching of frequencies of
- 9 eigen modes of said optic devices coupled to said waveguide.
- 1 15. The method of claim 14 where creating said line defect comprises forming
- 2 said first set of holes displaced in a predetermined direction with respect to said
- 3 second set of holes, said first and second set of holes having the same diameter
- 4 of hole therein.
- 1 16. The method of claim 14 where forming said first set of holes displaces
- 2 said holes in the ΓX direction in said slab, said waveguide being defined as a
- 3 type 1 waveguide.
- 1 17. The method of claim 14 where forming said first set of holes displaces
- 2 said holes in the ΓJ direction in said slab, said waveguide being defined as a type
- 3 2 waveguide.

ΠJ



- 1 18. The waveguide of claim 17 where forming said first set of holes displaces
- 2 said holes by a displacement, d, is a fraction, l, of lattice spacing, a, of said array,
- 3 $d = 1 \cdot a$, where 0 < 1 < 1.
- 1 19. The method of claim 18 where forming said first set of holes displaces
- 2 said holes by a displacement, d = 0.5.
- 1 20. The method of claim 18 where said waveguide has a bandgap and where
- 2 forming said first set of holes displaces said holes by a d which is reduced until
- 3 both acceptor-type modes and donor-type modes are positioned in the bandgap
- 4 of said waveguide.
- 1 21. The method of claim 14 where said slab has a bandgap, an air band and a
- 2 dielectric band for propagation of modes and where creating said line defect
- 3 comprises forming said first set of holes displaced by displacement of holes into
- 4 positions within said array of holes where dielectric is normally present to pull
- 5 modes from the dielectric band into the bandgap.
- 1 22. The method of claim 14 where said slab has a bandgap, an air band and a
- 2 dielectric band for propagation of modes and where creating said line defect
- 3 comprises forming said first set of holes displaced by displacement of holes into





- 4 positions within said array of holes where air is normally present to pull modes
- 5 from the air band into the bandgap.
- 1 23. The method of claim 1 where creating said line defect comprises
- 2 increasing or decreasing the diameter of a first set of holes in said array of holes
- 3 relative to a second set of holes comprising a remainder of holes of said array,
- 4 said first set of holes being adjacent at least in part to said line defect, said
- 5 waveguide defined as a type-3 waveguide.
- 1 24. The method of claim 23 where slab has a bandgap and an air band and
- where creating said line defect comprises decreasing the diameter of a first set of
- holes to a radius, $r_{defect} = 0.2a$ and said second set of holes has a radius, r = 0.3a
- 4 and said first set of holes has said array of holes has a triangular lattice so that
- 5 only air band modes are pulled down in the bandgap and no acceptor-type
- 6 modes are present.
- 1 25. The method of claim 23 where slab has a bandgap and an air band and
- 2 where creating said line defect comprises increasing the diameter of a first set of
- holes to a radius $r_{defect} = 0.45a$, where second set of holes has a radius, r = 0.3a,
- 4 and said array of holes has a triangular lattice so that only acceptor-type modes
- 5 are present.



- 1 26. The method of claim 1 where creating said line defect comprises guiding
- 2 light in said waveguide solely due to photonic bandgap (PBG) effect.